

**RECEIVED
CENTRAL FAX CENTER**

OCT 17 2007

COVER PAGE LISTING DOCUMENTS BEING TRANSMITTED VIA FACSIMILE

22 Pages Via Facsimile: 571-273-8300
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (fax no. 571-273-8300) on October 17, 2007.


Leah Herszberg

Regarding the following Application:

Applicant(S): SIEGEL, Steven et al. Examiner: Blessing M. Fubara

Serial No./ Patent No.: 10/046,504 Group Art Unit: 1618

Filed/Issued Date: October 19, 2001 Attorney Docket No.: P-9565-US

Title: POLYMER-BASED SURGICALLY IMPLATABLE HALOPERIDOL
DELIVERY SYSTEMS AND METHODS FOR THEIR PRODUCTION
AND USE

Please find:

- | | |
|---|---|
| 1. <input type="checkbox"/> Provisional Cover Sheet | 9. <input type="checkbox"/> Response to Notice to File Missing Parts |
| 2. <input type="checkbox"/> Utility Patent Application Transmittal | 10. <input type="checkbox"/> Response to Notice of Incomplete Reply |
| 3. <input checked="" type="checkbox"/> RCE Transmittal Sheet | 11. <input type="checkbox"/> Request for Correction of Filing Receipt |
| 4. <input type="checkbox"/> Fee Transmittal Sheet | 12. <input type="checkbox"/> Information Disclosure Statement including:
- Form PTO/SB/08 and references _____ |
| 5. <input type="checkbox"/> Patent Application Under 35 USC 111(a) | 13. <input type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Provisional Patent Application Under 35 USC 111(b) | 14. <input type="checkbox"/> Response to Office Action dated _____ |
| <input type="checkbox"/> Transmittal Sheet for Entering National Phase
Containing:
____ Pages of Specification
____ Pages of Claims
____ Page of Abstract
____ Pages of Formal Drawings
____ Pages of _____ | 15. <input checked="" type="checkbox"/> Petition for a Three Month(s) Extension of Time |
| 6. <input type="checkbox"/> Signed Declaration & Power of Attorney | 16. <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief _____ |
| 7. <input type="checkbox"/> Request for Correction of Recordation of Assign. and:
- Recordation Cover Sheet
- Copy of Notice of Recordation of Assign. | 17. <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Publication Fee |
| 8. <input type="checkbox"/> Recordation of Assign. Cover Sheet & Signed Assign. | 18. <input type="checkbox"/> Submission of Formal Drawings: Two sets of
____ Sheets containing Figs. _____ |
| | 19. <input type="checkbox"/> Copy of Priority Doc. |
| | 20. <input type="checkbox"/> Claim for Convention Priority |
| | 21. <input type="checkbox"/> Revocation and Power of Attorney, including:
- Statement Under 37 CFR 3.73(b)
- Copy of Assignment |
| | 22. <input checked="" type="checkbox"/> Other: <u>Amendment and Declaration under rule 37 C.F.R. 1.132</u> |